CFSR Round 3
Performance on Safety Outcomes, Items, and Statewide Data Indicators

CFSR Round 3 (51 States)
July 2020
CFSR Case Record Review Results for Safety Outcomes & Items
Considerations

- Differences in performance across items and outcomes cannot be compared.

- Size and structure of case samples limit ability to generalize CFSR performance to state and national performance.
  - Traditional and state-conducted reviews are structured differently.
  - Sample sizes and number of sites represented differ across states as state-conducted CFSRs could include a larger number of sites and case reviews.

- Carefulness needed interpreting results represented as percentage performance as percentages are sensitive to fluctuation when based on small sample sizes/small number of applicable cases.
CFSR 3 Safety Case Review Measures

Safety Outcome 1: Children are, first and foremost, protected from abuse and neglect. (S1)

- Item 1: Timeliness of Initiating Investigations of Reports of Child Maltreatment

Safety Outcome 2: Children are safely maintained in their homes whenever possible and appropriate. (S2)

- Item 2: Services to Family to Protect Child(ren) in the Home and Prevent Removal or Re-Entry Into Foster Care

- Item 3: Risk and Safety Assessment and Management
Number of States Achieving Substantial Conformity With Safety Outcomes (n=51)

<table>
<thead>
<tr>
<th>Safety 1</th>
<th>4 States</th>
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</thead>
<tbody>
<tr>
<td>Safety 2</td>
<td>0 States</td>
</tr>
</tbody>
</table>
Percentage of Cases Substantially Achieved Safety Outcomes

Safety Outcome 1: 73%
Safety Outcome 2: 55%
Range of State Performance in Percentages of Applicable Cases Substantially Achieved by Safety Outcome  

Safety Outcome 1  
Safety Outcome 2

0% 100%  
20% 31%  
40% 91%  
60%  
80%  
100%
Number of States Achieving Strength Ratings on Safety Items (N=51)

- Item 1: Timeliness of Investigations - 4
- Item 2: Services to Protect and Prevent Removal or Re-Entry - 6
- Item 3: Risk and Safety Assessment and Management - 1
Safety 1: Children are, first and foremost, protected from abuse and neglect

<table>
<thead>
<tr>
<th>Safety Outcome 1</th>
<th>Item 1</th>
<th>Timeliness of Investigations</th>
<th>73%</th>
</tr>
</thead>
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Safety 2: Children are safely maintained in their homes whenever possible and appropriate

<table>
<thead>
<tr>
<th>Safety Outcome 2</th>
<th>Item 2</th>
<th>Services to Protect Children and Prevent Removal or Re-Entry</th>
<th>65%</th>
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</thead>
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<table>
<thead>
<tr>
<th>Item 3</th>
<th>Risk and Safety Assessment and Management</th>
<th>56%</th>
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</table>
Item 1 Timeliness of Response to Maltreatment Reports
Percentage of Desirable Responses

- Item 1A Timely Initiation of Maltreatment Report: 85%
- Item 1B Timely Face-to-Face Contact with Children: 69%
- Item 1C Delays Beyond Agency Control: 14%
Item 1 Practice Strengths and Concerns

Strengths

- Regardless of the priority level assigned to an accepted report, reports were initiated timely and there was timely face-to-face contact with children.
- Caseworkers made multiple attempts to see families and used a variety of efforts to locate and make contact with children and families.

Concerns

- There was a lack of concerted efforts to initiate and see child(ren) within established timeframes.
- Caseworkers did not make multiple efforts to see child(ren) in different settings and/or did not use different sources of communication to ensure initiation (e.g., in some cases, caseworkers did not visit children at school, despite its being an option).
- Timely response to accepted child maltreatment reports was affected by a high volume of reports and high caseloads.
Item 2 Services to Protect Children and Prevent Removal and Re-Entry to Foster Care
Percentage of Yes/No Responses

- **Yes**
  - Item 2A: Services to Protect Child(ren) and Prevent Removal or Re-Entry: 55%
  - Item 2B: Removal Without Services Necessary to Ensure Child(ren) Safety: 72%

- **No**
  - Item 2A: Services to Protect Child(ren) and Prevent Removal or Re-Entry: 45%
  - Item 2B: Removal Without Services Necessary to Ensure Child(ren) Safety: 28%

In **25%** of the 1,530 applicable cases, safety-related services were not provided and children were left in homes with unaddressed safety concerns.
Item 2 Practice Strengths and Concerns

Strengths

- Appropriate and relevant services were provided to the family to prevent child(ren)’s entry or re-entry into foster care. Services were provided in the home, which, in some cases, also provided an additional source of monitoring.

- When children were removed from their homes, it was necessary to ensure safety (e.g., the child(ren) was in imminent danger).

Concerns

- Appropriate services were not provided to address the existing safety concern or to prevent children from entering foster care due to inadequate assessment of safety or lack of available services.

- There were delays in providing safety services.

- There were no concerted efforts to engage parents in, or successfully access, services. For example, the agency did not follow up with parents to see how the services were going or if the parent was making progress.
**Item 3: Risk and Safety Assessment and Management**

Percentage of Desirable and Undesirable Responses

Desirable = Yes Response for Sub-Items 3A-3C and No Response for Sub-Items 3D-3F

<table>
<thead>
<tr>
<th>Item</th>
<th>Desirable</th>
<th>Undesirable</th>
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</thead>
<tbody>
<tr>
<td>3A</td>
<td>30%</td>
<td>70%</td>
</tr>
<tr>
<td>3B</td>
<td>38%</td>
<td>62%</td>
</tr>
<tr>
<td>3C</td>
<td>48%</td>
<td>52%</td>
</tr>
<tr>
<td>3D</td>
<td>32%</td>
<td>68%</td>
</tr>
<tr>
<td>3E</td>
<td>8%</td>
<td>92%</td>
</tr>
<tr>
<td>3F</td>
<td>6%</td>
<td>94%</td>
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- **Item 3A**: Initial accurate assessment of risk & safety
- **Item 3B**: Ongoing accurate assessment of risk & safety
- **Item 3C**: Needed Safety Plans developed, monitored, updated
- **Item 3D**: Safety concerns in-home and foster care addressed appropriately
- **Item 3E**: No safety concerns during parent/family visitation
- **Item 3F**: Safe in foster care
Item 3 Risk and Safety Assessment & Management
Maltreatment Allegations Not Reported or Investigated/Assessed, and Not Substantiated Despite Supporting Evidence

- During the PUR, there were maltreatment allegations about the family, but they were never formally reported or formally investigated/assessed in 6% of the cases (244 of 4,067 cases; Sub-Item 3A1)

- During the PUR, there were maltreatment allegations that were not substantiated despite evidence that would support substantiation in 3% of the cases (122 cases of 4,067 cases; Sub-Item 3A2)
Item 3 Practice Strengths

- Agencies used tools/instruments to conduct formal risk and safety assessments
- Ongoing formal and informal risk and safety assessments were conducted by visiting the child in the home and other settings
- Information from a variety of sources was used to inform ongoing formal and informal risk and safety assessments
- Safety plans included family members to help facilitate/monitor safety
Item 3 Practice Concerns

- Relevant household members not included in assessments/safety plans
- Reassessments not conducted when there was a change in the family circumstances, e.g., new household members
- Safety and risk not reassessed for children remaining in the home
- Lack of frequent and quality visits with children
- Safety plans did not address all safety concerns identified, not effectively monitored, and not updated when circumstances changed
- Safety plans relied on parental promises
- Individuals involved in safety plans were not clear on expectations or able/willing to implement the plans
- Alternate caregivers were not used appropriately for safety plans
**Item 14 Caseworker Visits with Children**

Percentage of strength ratings for Item and Percentage of Yes responses for sufficient visit frequency and quality.

- Item 14: 66%
- Sufficient Frequency of Caseworker Visits with Child: 80%
- Sufficient Quality of Caseworker Visits with Child: 71%
Item 15 Caseworker Visits with Parents

Percentage of strength ratings for Item and Percentage of Yes responses for sufficient visit frequency and quality

- Item 15: 40%
- Sufficient Frequency of Caseworker Visits with Mother: 62%
- Sufficient Quality of Caseworker Visits with Mother: 62%
- Sufficient Frequency of Caseworker Visits with Father: 45%
- Sufficient Quality of Caseworker Visits with Father: 54%
Comparison of Performance by Family Role
Items 14 and 15

- **Frequency of Worker Visits**
  - Child: 45%
  - Mother: 62%
  - Father: 80%

- **Quality of Worker Visits**
  - Child: 54%
  - Mother: 62%
  - Father: 71%
Item 14 Practice Strengths and Concerns

Strengths

- Frequency and length of caseworker visits corresponded with needs of child(ren)
- Caseworkers developed rapport with children using a variety of techniques (e.g., discussions about daily activities, favorite foods, music, and other topics to promote relationships)
- Caseworkers used a variety of developmentally appropriate strategies to engage children during visits
- Visits occurred in multiple settings and/or multiple types of contact between caseworker and child(ren)

Concerns

- Children were not seen privately, which affected the quality of visits
- Children were not visited in their homes, which reduced the quality of visits
- Visits lacked purposeful, substantive, and/or comprehensive conversations
- Frequency and quality of visits were not driven by case dynamics or children’s needs
Statewide Safety Data Indicators
Provided Context for Round 3

- **Maltreatment in foster care**: Of all children in foster care during a 12-month period, what is the rate of victimization per 100,000 days of foster care?

- **Recurrence of maltreatment**: Of all children who were victims of a substantiated or indicated report of maltreatment during a 12-month period, what percent were victims of another substantiated or indicated report of maltreatment within 12 months of their initial report?
Maltreatment in foster care (victimizations per 100,000 days in foster care) 17AB, FY17
12-month reporting period: 17AB, FY17
Data used: 17A–17B, FY17–18
National Performance: 9.67 (↓)
Recurrence of maltreatment FY17-18
12-month reporting period: FY17-18
Data used: FY17-18
National Performance: 9.5% (↓)
Questions or requests for additional information contact
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Resources

CFSR Round 3 Aggregate Report

CFSR 3 Case Review and Statewide Data Indicator Visualization Accessed through CFSR Information Portal and OMS

CFSR 3 Statewide Data Indicator Series

Child Welfare Outcomes Site

Child Maltreatment Report