**Department of Human Resources**

**Request for Proposals for**

**State Disbursement Unit Services**

**CSEA/SDU/14-001-S**

**QUESTIONS AND RESPONSES SERIES #4**

1. **Question**: The State’s current security access policies require that resolution of a financial adjustment requires action by two separate staff to provide full segregation of duties. Further, the supervisor of that functional area is required to assign work to staff from the State’s LTR (Local Transaction Request) system, and is not permitted any working access to perform financial adjustments. This approach to functional access imposes certain limitations on staffing capabilities in that area. Would the State consider granting the contractor a security exception to allow a single worker to fully perform a financial adjustment?

**Response**: No, the State will not consider granting the Contractor a security exception to allow a single worker to fully perform a financial adjustment.

1. **Question**: Would the State consider an SSAE-16 engagement an acceptable means of

meeting the requirement of a SOC 2 audit?

**Response**: No. A SSAE-16 engagement is not an acceptable means of meeting the SOC-2 audit requirement.