

**DEPARTMENT OF HUMAN RESOURCES/OFFICE OF TECHNOLOGY FOR HUMAN
SERVICES (OTHS)
REQUEST FOR PROPOSALS (RFP) FOR
AUTOMATED FISCAL SYSTEM (AFS) MODERNIZATION PROJECT**

QUESTIONS AND RESPONSES #9

Question 40: At the Pre-proposal conference, there was a discussion about SaaS and COTS solutions. The State indicated that a COTS solution is acceptable, and that the infrastructure hardware, security etc. would be provided by the State if a COTS solution were selected. A SaaS solution will be priced as a subscription fee which will include a cost for infrastructure, hardware, security, disaster recovery etc. How will the State compare cost proposals to account for the difference between the two solution approaches?

Answer: The solicitation’s pricing sheet provides the ability for the State to evaluate associated costs for SaaS and COTS solutions. The State will make a determination relative to the total cost of ownership through its evaluation phase to assess which solution best serves the interest of the State.

Question 41: With the understanding that a SaaS subscription fee will be a significant portion of the cost for the solution being provided, will the State consider removing the SaaS subscription fee from the MBE participation percentage? SaaS services are generally single use and not able to be provided by an MBE.

Answer: No.

Question 42: Regarding Amendment #2 - Please define “All Data” in terms of the size of the data that must be migrated. How many years of Data are required to be available real-time? Will the department perform all data cleanup activities on this data to ensure that all data will be correct and meet any and all new data edit criteria being implemented in the new solution?

Answer: The AFS application contains approximately 26,000,000 records totaling approximately 3 gigabytes of data. The Contractor shall transfer all legacy records included in the existing AFS data repository to the new system. The State will

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consider a phased implementation approach depending on the complexity of the data conversion process.

Additionally, the State will work with the Contractor to complete all data cleansing activities. The State anticipates that the vendor's ETL tools will assist in the translation of source data elements to ensure conformance with the target system's data structure. If there are instances where "junk" data needs to be excluded from the target system, the State will assist in making this determination so that the ETL process can complete successfully.

Question 43: Regarding Amendment #2 - Does an "as is" business process document exist for the business processes DHR is looking to revise? If so, please provide a copy of the "as is" processes

Answer: No. The "as-is" and the "to-be" business processes analysis will occur following Contract award.

Question 44: Regarding Amendment #2 - Please provide an inventory of all systems with which the new AFS solution will be expected to interface.

Answer: As outlined in Attachment V, the new solution will be required to interface with the State's CHESSIE application. The system will also need to export data that will be placed into the State's Financial Management Information System (FMIS) through batch processes. Additionally, the worksheet labeled "Legacy System Consolidation" in Attachment V provides functionality from existing legacy systems that is desired in the new solution since the State will retire these systems.

Question 45: Regarding Amendment #2 -Please provide an Architecture diagram of the current AFS.

Answer: Please see the "AFS Main Components" diagram on Page 5 of this document.

Question 46: Regarding Amendment #2 - Please provide a Data Architecture diagram of the current AFS.

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Answer: **This information is currently not available.**

Question 47: At the pre-proposal conference, it was stated that Technical responses would receive greater weight than financial factors. A State representative in the meeting highlighted the fact that the proposal indicates equal weight for technical and financial responses. Will an amendment be issued, as stated in the pre-proposal transcript to clarify that Technical responses will receive greater weight than the financial responses?

Answer: **No, technical factors and financial factors will receive equal weight.**

Question 48: Is Grants Management functionality (post award) desired as part of this initiative or in a future phase?

Answer: **The State is interested in exploring this capability for a future phase.**

Question 49: DHR's latest budget indicates that DHR has approximately 6300 employees. Please provide a breakout of full-time, part-time and contract workers that are assigned to DHR. This information is beyond the employee information that DHR provided, but needed for accurate pricing.

Answer: **The State cannot provide this information.**

Question 50: The SOC2/Type II reports outlined in Section 3.11 are made available by SaaS vendors to all customers and not susceptible to tailoring for individual customers. Please confirm that the requirements outlined in Section 3.11, including specific timelines, are not applicable to SaaS vendors that provide compliant SOC 2/Type II reports as part of their standard operating procedures.

Answer: **If a SaaS vendor has SOC-2/Type II reports performed as part of its normal business operation, DHR will accept that audit in lieu of a SOC-2/Type II audit that is specific to this contract.**

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Question 51: Is DHR willing to sign a two-way/mutual Non Disclosure Agreement (NDA) as opposed to the one included in Attachment K?

Answer: Yes. Offerors should state their request for a mutual NDA in their Executive Summary.

AFS MAIN COMPONENTS

